Share.to for Wealth Management: Asset Acquisition

Eugene Grey, Financial Advisor

> "Wish it was easier to engage my clients.

ASSET ACQUISITION TODAY

This is too cumbersome!

Oh, I can't find where I put the form

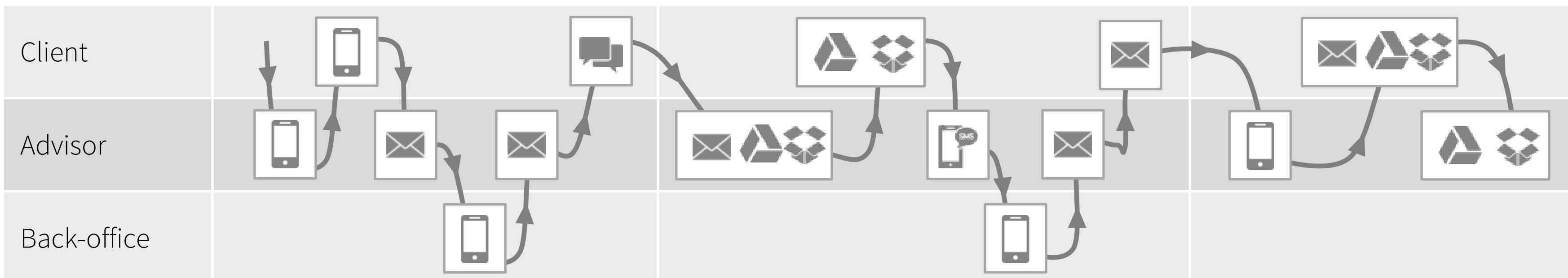
Where do I find the trail of convers-ations & files?

Discovery > Engagement > Transfer of Asset

Discovery: Clarifications about asset types, classes and ratings

Engagement: Client submits information on net worth, risk profile, asset ownership plan. Receives portfolio structuring options and benefits document

Transfer of Asset: Client and company enter into contract to make company custodian



THE OUTCOME

Information passes through as many as 7 tools

- LACK OF TRANSPARENCY
- DISENGAGED CLIENTS
- LONGER CYCLES
- REGULATORY ISSUES

ASSET ACQUISITION WITH SHARE.TO

Discovery > Engagement > Transfer of Assets

THE OUTCOME

Single place to interface with client & record info

- MOBILITY
- TRANSPARENCY
- ENGAGEMENT
- CENTRALIZED RECORDS FOR COMPLIANCE

Asset Acquisition enabled by Share.to

Financial Advisor invites clients into "Share"

Advisor gives answers about imminent market & industry impacts using preferred communication channels
Mobile chat, video/audio conf, web chat

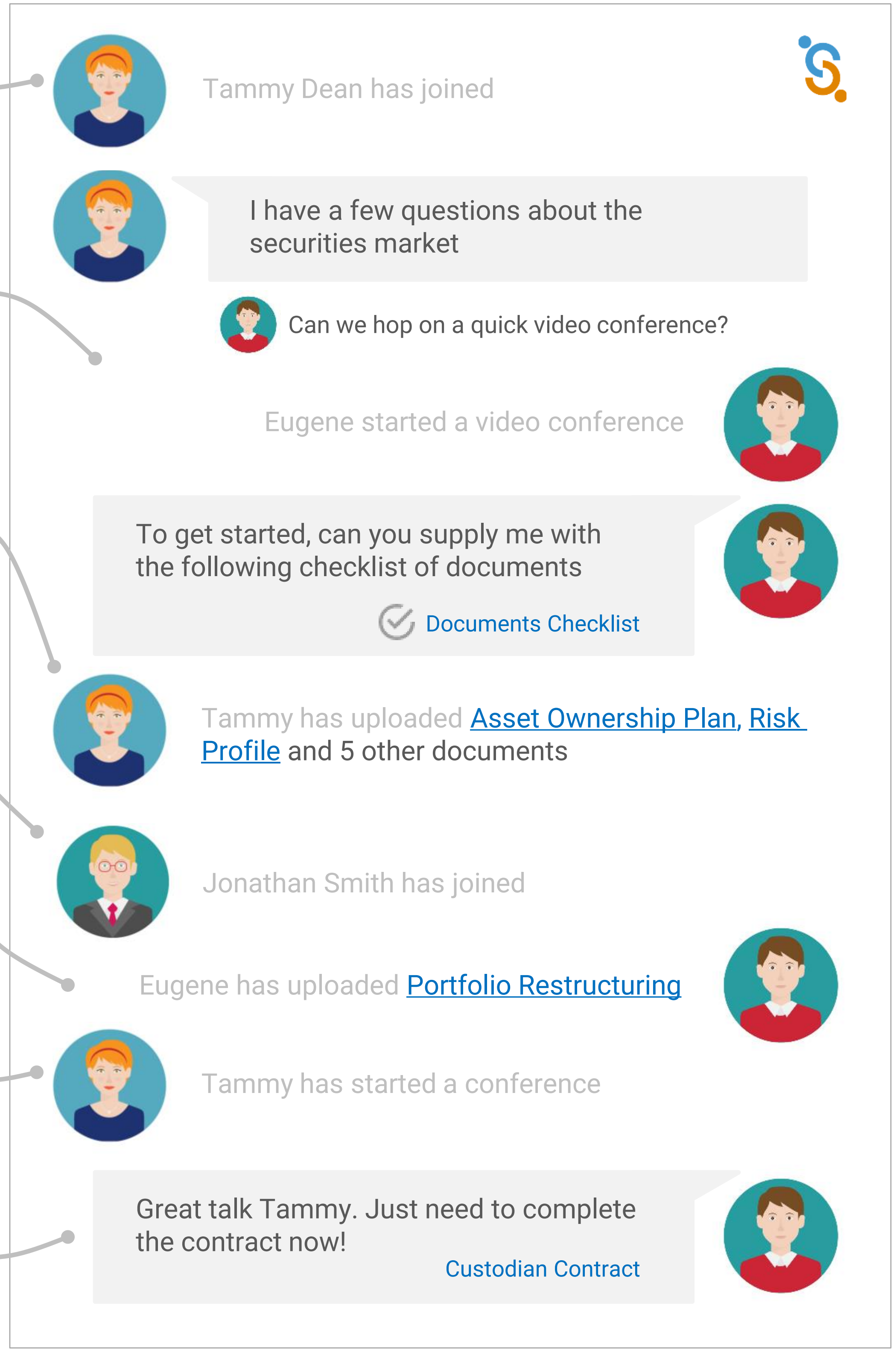
Advisor asks client to provide checklist of documents about net worth, risk profile, asset ownership plan etc.

Advisor invites back-office audit team member to go through documentation

Based on Client's requirements Advisor submits portfolio structuring options and benefits document

Client and Advisor go over documents together for final clarifications

Advisor submits contract for appointment of his firm as custodian of client's assets



Benefits for advisor

- Engage clients however they prefer
- Manage information centrally
- Be mobile
- Shorten acquisition cycles

Benefits for client

- Get a transparent view of the entire acquisition process
- A single place to get all answers
- Simplify information sharing

Benefits for financial firm

- Data security and integrity
- Auditability through archived communication and documentation*

* Conference recording coming soon in Q1 2016

Any device

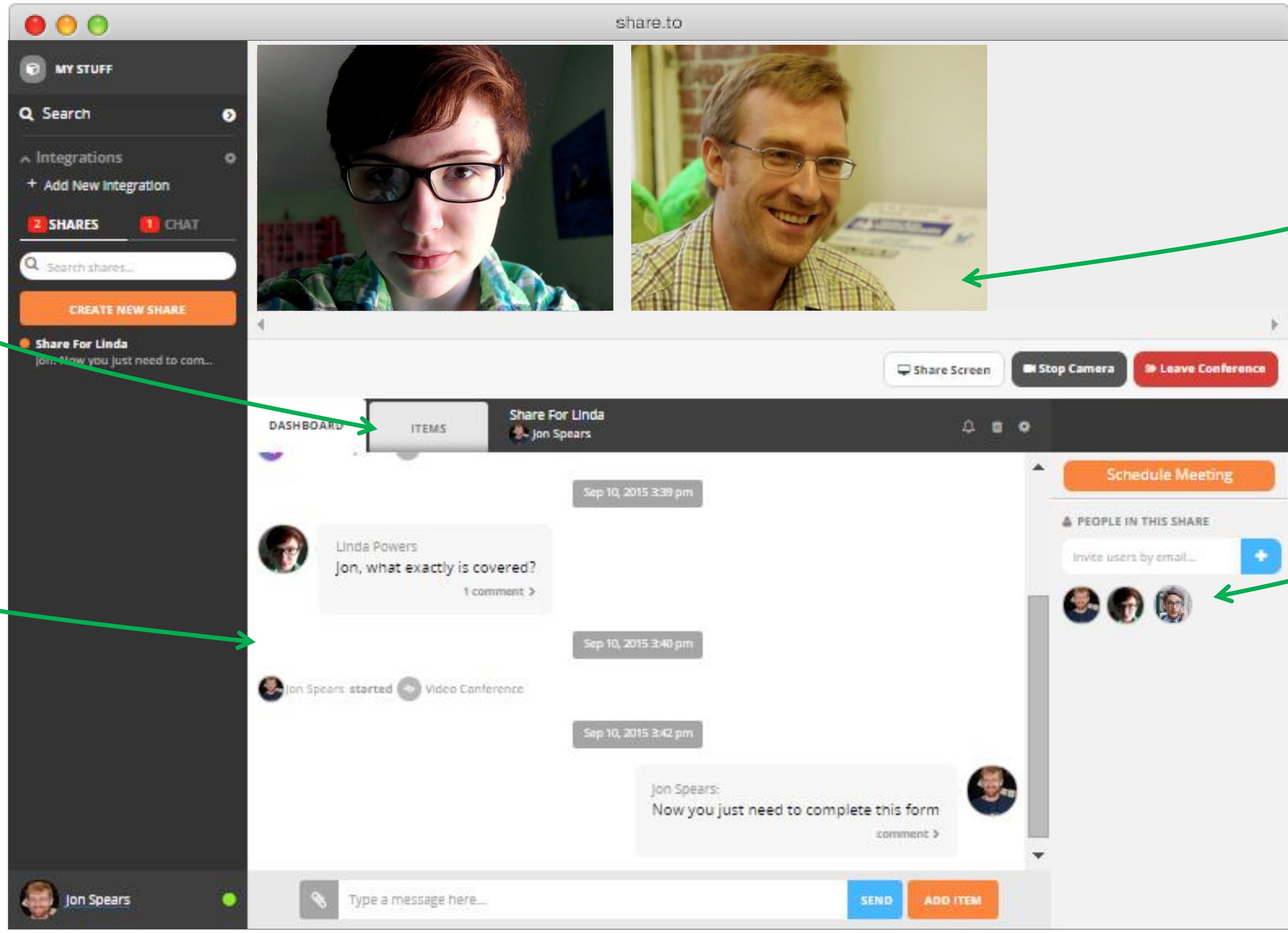
www.share.to

A first look at Share.to

Manage entire asset acquisition cycle in one place

One stop for sharing information

One stop for all your conversations



Communicate with the most convenient means

Involve anyone – underwriters, brokers, backoffice – as you go.

www.share.to